



457 Plan to Plan Rollover Form

Personal Information

Name: _____ SSN: _____
 Street Address: _____
 City: _____ State: _____ ZIP: _____
 Home Phone: _____ Work Phone: _____
 Email: _____

Employer & Plan Information

Old Employer
 Name: Sonoma County PST/OBRA Plan ID: 0041611-002
 Street Address: 585 Fiscal Drive
 City: Santa Rosa State: CA ZIP: 95403
 Contact: Christy Kazarian Phone: 707-565-3221
 Are plan to plan transfers and/or rollovers permitted? Yes. No
 Authorization: _____ Date: _____

New Employer
 Name: County of Sonoma 457 Plan Plan ID: 0041611-001
 Street Address: 585 Fiscal Drive
 City: Santa Rosa State: CA ZIP: 95403
 Contact: Christy Kazarian Phone: 707-565-3221
 Are plan to plan transfers and/or rollovers permitted? Yes. No
 Authorization: _____ Date: _____

Check Information

Plan Type: 457(b) Plan 401(a) Plan 401(k) Plan
 Make check payable to: Nationwide Retirement Solutions Mail check to: Nationwide Retirement Solutions
FBO (Participant Name, SSN) P.O. Box 183150
Columbus, OH 43218
Amount to Rollover/Transfer: Partial dollar amount \$ _____ Total Balance

Investment Instructions

INVEST MY TRANSFER TO MY NEW EMPLOYER AS FOLLOWS:
 Use the same allocations as my ongoing deferrals under my new employer; **or**
 Invest in the same funds as accumulated under my old employer.

In the event any trailing amounts are received after this transfer is processed, such amounts will be allocated as specified on this form. If you fail to indicate your allocation choice, your transfer will be allocated in the same manner as your ongoing deferrals under your new employer's plan. The transfer of your proceeds will occur as two separate transactions, a surrender and a reinvestment. Your proceeds will be reinvested within one business day of your surrender being completed.

457(b) to 457(b) Incoming Assets

Some 457(b) Plan documents allow participants who leave one employer and accept a position with a new employer to TRANSFER or ROLLOVER the assets from the first employer. The choice of transfer or rollover will determine when the assets are available for distribution from your NRS account. The difference between a transfer and rollover: Following a transfer, withdrawal of assets is permitted only when the account holder meets a distributable event. (e.g. severance from employment or age 70½ (or age 72 if born after June 30, 1949)). Following a Rollover, withdrawal of assets is permitted at any time pursuant to the account holder's request. **Please make your selection: TRANSFER ROLLOVER**

Authorization

Name of Former Employer: Sonoma County I certify that I have separated service on: _____
 Participant Signature: _____ Date: _____
 Enroller Signature: _____ Date: _____

Important Information

PARTICIPANT INFORMATION

- Full Name: Must include first, last and middle names.
- Social Security Number: Must include any necessary suffixes.
- Address Information: Participant's current mailing address.
- Phone Number: Include both business and home phone numbers to include area code.

EMPLOYER INFORMATION

- The left side is to be completed with the old employer's information and verification that true plan to plan transfers are permitted.
- The right side is to be completed with the new employer's information and verification that true plan to plan transfers are permitted.

Note: For transfers within NACo/USCM, always check "Yes" for "Are plan to plan transfers and/or rollovers permitted?"

- The contact person should be the one with responsibilities relating to plan to plan transfer administration

CHECK INFORMATION

- Include the mailing address for the administrator responsible for receiving the plan transfer funds to the new plan
- Confirmation (Right Side): The plan administrator for the receiving plan will indicate the dollar amount received for transfer, the date received, and the date it was invested.

ALLOCATION INFORMATION

- You must indicate how the transferred assets are to be invested.

457(b) to 457(b) INCOMING ASSETS

- Indicate whether you would like to transfer or rollover any assets from a previous employer to your new employer

AUTHORIZATION

- The participant and NRS Representative's signatures must both be present before processing.

RETURN COMPLETED FORMS TO THE ADDRESS BELOW:

Nationwide Retirement Solutions
P.O. Box 183150
Columbus, OH 43218